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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/662,195

09/12/2003

Dac-Ig Chang

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EXAMINER

BOCURE, TESFALDET

ART UNIT

PAPER NUMBER

2611

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05/17/2007

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

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<b>Office Action Summary</b>	<b>Application No.</b> 10/662,195	<b>Applicant(s)</b> CHANG ET AL.	
	<b>Examiner</b> Tesfaldet Bocure	<b>Art Unit</b> 2611	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 21 February 2007.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-6 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-6 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 12 September 2003 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |                                                                                                                                  |                                                                                         |
|----------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                                      | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                             | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date <u>9/12/03</u> . | 6) <input type="checkbox"/> Other: _____                                                |

## **DETAILED ACTION**

### ***Priority***

1. Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.

It should be noted that the Priority paper were not properly acknowledged

### ***Information Disclosure Statement***

2. The Examiner has considered the Information Disclosure Statement (IDS) received on 9/12/03 and the initialed copy (one page) of the IDS is attached with this correspondence.

It should be noted that the IDS of 9/12/03 was not properly acknowledged.

### ***Drawings***

3. Figure s 1 and 2 should be designated by a legend such as --Prior Art-- because only that which is old is illustrated. See MPEP § 608.02(g). Corrected drawings in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. The replacement sheet(s) should be labeled "Replacement Sheet" in the page header (as per 37 CFR 1.84(c)) so as not to obstruct any portion of the drawing figures. If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

***Previously Indicated Allowable Subject Matter***

4. The indicated allowability of claims 2-6 is withdrawn in view of the newly discovered reference(s) to Wang (Soft Decision Generation for QAM with Channel Estimation Error, IEEE) in view of Applicant's Owned Admitted Prior Art (AOAPA) (figures 1 and 2) . Rejections based on the newly cited reference(s) follow.

***Claim Rejections - 35 USC § 103***

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

7. Claims 1-6 are rejected under 35 U.S.C. 103(a) as being unpatentable over Michael Mao Wang et al., Wang hereinafter (Soft Decision Generation for QAM with

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Channel Estimation Error, IEEE) in view of Applicant's Owned Admitted Prior Art, AOAPA hereinafter (figures 1 and 2)

Wang teaches a soft decision for a QAM with channel estimation error comprising: a first log likelihood calculator (see first max in equation 14); a second log likelihood calculator (second max in equation 14); and subtractor (see subtractor for subtracting the first and second max).

The first and second log likelihood calculator incorporates the signs (positive and negative) of the signals (see  $s: c_k = -1$  and  $+1$  in equation 14).

What Wang fails to teach is that the log likelihood is compared by comparator for calculating the difference of the log likelihood ratios of the subtractor, and determining the QAM to positive or negative according to the state of the calculation result as in claim 1.

AOAPA for the same endeavor as the instant application and that of Wang teaches that the comparator 30 shown in fig. 1 compares the subtracted value of the first and second log likelihood and generating a positive or negative value of the QAM signal. Therefore, it would have been obvious to one of an ordinary skill in the art to use to comparator of AOAPA for generating a maximum likelihood value of the received QAM signal at the time the invention was made.

It should be noted that the AOAPA have the first and the second calculators and subtractors as shown in fig. 1 however, it differs from the claimed subject matter in that the admitted prior art does not put in consideration the channel estimation error when

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calculating log likelihood. Therefore, either of the prior art can be as primary references in rejecting claim 1.

Further to claims 2, 3 and 5, Wang also teaches that the log likelihood equation having inherent multipliers for multiplying the channel estimation with the reference signal; subtracting the multiplied values ( $s\alpha$ ) by the multiplier by the received signal ( $x - s\alpha$ ); squaring the subtracted value  $((x - s\alpha)^2)$ ; second squaring the reference signal received signal  $((s)^2)$ ; adding the second squared value and the ratio of the noise bandwidth ( $\zeta$ ); and dividing the squared value by the added values (see dividing the  $(x - s\alpha) / ((s)^2 \zeta + 1)$ ).

It should be noted that the ratio of the effective channel estimation filter noise and QAM symbol noise bandwidth  $\zeta$  has been calculated  $\sigma_n^2 / \sigma_e^2$ , however if the ration is calculated as  $\sigma_e^2 / \sigma_n^2$ , the equation would have the same addition of the squared value by the ratio as claimed as in claims 2,3 and 5.

Further to claims 4 and 6 Wang clearly shows in equation 14 as in claims 4 and 6 except the ratio of the effective channel estimation filter noise and QAM symbol noise bandwidth  $\zeta$  has been calculated  $\sigma_n^2 / \sigma_e^2$ , however if the ratio is calculated as  $\sigma_e^2 / \sigma_n^2$ , the claimed equation in claims 4 and 6 would have the same result as that of equation 14 in of Wang.

What Wang fails to teach is that the first and second log likelihood calculated values compared by the comparator as in claims 1,2,3 and selecting the minimum value from the values inputted and outputting likelihood ratio for soft decision as in claim 5.

AOAPA for the same endeavor as the instant application and that of Wang teaches that the comparator 30 shown in fig. 1 compares the subtracted value of the first and second logs likelihood and generates a positive or negative value of the QAM signal. Therefore, it would have been obvious to one of an ordinary skill in the art to use to comparator of AOAPA for generating a maximum likelihood value of the received QAM signal at the time the invention was made.

### ***Conclusion***

8. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. US patent numbers 5,657,354, 6,975,669, Patent Publication number 2006/0078075 and IEEE publication "On Reliability Metrics for Soft-Input Decoding in Presence of Channel estimation Errors" to Thesling et al., Ling et al., Stamoulis et al. and Mustapha respectively disclose a soft decision decoder having a log likelihood detector for calculating log likelihood of the received signal by incorporating the channel estimation error.

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tesfaldet Bocure whose telephone number is (571) 272-3015. The examiner can normally be reached on Mon-Thur (7:30a-5:00p) & Mon.-Fri (7:30a-5:00p).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jayanti (Jay) Patel can be reached on (571) 272-2988. The fax phone

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number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

T.Bocure

Tesfaldet Bocure  
Primary Examiner  
Art Unit 2611

